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Practitioner's Docket No. 47,653.2

RECEIPT ACCOUNTING DIVISION **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

977 MAR 22 PM 2:16

☒ In re application of: John C. Houck and James Clagett
Application No.: 09 / 190,043 Group No. 1646
Filed: November 10, 1998 Examiner: Not Yet Assigned
For: SMALL PEPTIDES AND METHODS FOR TREATMENT OF ASTHMA AND INFLAMMATION

☐ Patent No.: _____ Issued: _____

**NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.*

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

(complete (a) or (b))

- (a) ☒ Attached is a statement claiming small entity status in this application.
(b) ☐ A statement claiming small entity status was filed in this application on _____

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on February 1, 1999, in the amount of \$ 1,436.00

NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

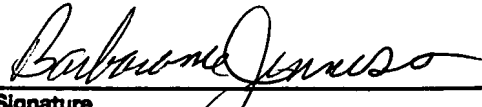
MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 3/19/99


Signature
Barbaranne Jenness
(type or print name of person certifying)

III. FEES PAID FOR WHICH REFUND REQUESTED

AMOUNT OF REFUND REQUESTED

☒ Filing fee \$ 653.00
☒ Surcharge for filing the basic filing fee on a date later
than the filing date of the application 65.00
(37 CFR 1.16(e) or 37 CFR 1.16(l)). \$

or

☐ Surcharge for filing the oath or declaration on a date
later than the filing date of the application
(37 CFR 1.16(e)) \$
☐ Surcharge for filing the basic filing fee on a date later
than the filing date of the provisional application
(37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$

or

☐ Surcharge for filing the cover sheet on a date later
than the filing date of the provisional application
(37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$
☐ Extension of term \$
☐ Issue fee \$
☐ Patent maintenance fee
☐ first maintenance fee \$
☐ second maintenance fee \$
☐ third maintenance fee \$
☐ Patent maintenance fee surcharge.

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

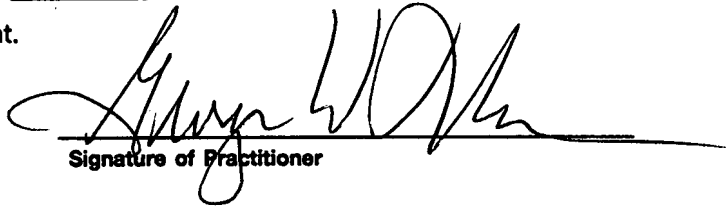
☐ Other \$

TOTAL REFUND REQUESTED \$ 718.00

IV. MANNER OF REFUND

Please make refund by

☒ crediting Account No. 04-1105
☐ refunding overpayment.


Signature of Practitioner

Reg. No.: 26,964

George W. Neuner

(type or print name of practitioner)

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